

Meeting: Primary Care Commissioning Committee			
Meeting Date	26 September 2018	Action	Receive
Item No.	15	Confidential	No
Title	Risk Report		
Presented By	Margaret O'Dwyer, Director of Commissioning and Business Delivery		
Author	Lynne Byers, Risk Manager		
Clinical Lead	-		

Executive Summary
<p>Risk management provides a systematic and consistent integrated framework through which the CCG's strategic objectives are pursued. This involves the identification of risks, threats and opportunities to achieving those objectives and taking steps to mitigate manage and control the associated risks to delivery.</p> <p>This paper includes those risks assigned to the Primary Care Commissioning Committee in line with the Risk Management Strategy.</p> <p>The report provides narrative on those risks which have been reviewed in the reporting period and specifically includes:</p> <ul style="list-style-type: none"> • risks which have no reported change in score; • risks that have reached their target level; • risks which have reduced in score; • risks which have increased in score; • risks that are proposed for closure; and • new risks included on the register for the first time. <p>The Committee is advised that the remaining risk on the risk register is reviewed on a quarterly basis and has been scheduled for a risk assessment during September 2018 for onward reporting in November 2018.</p>

Recommendations
<p>It is recommended that the Primary Care Commissioning Committee:</p> <ul style="list-style-type: none"> • receive the risk report; • note the risks on the risk register as reflected in Appendix A; • note the update provided in the executive summary; • note the summary position and; • note the risks that will be reported through the Corporate Risk Register.

Links to CCG Strategic Objectives	
To encourage people so that they want to, and do, take responsibility for their own health and well-being.	<input type="checkbox"/>
To drive and support system wide transformation .	<input type="checkbox"/>

To commission joined-up health and social care for people in Bury through a Single Commissioning Framework.	<input type="checkbox"/>
To achieve financial sustainability for the Bury health and social care economy.	<input type="checkbox"/>
To support the Locality Care Alliance to deliver high quality services in line with commissioner intentions.	<input type="checkbox"/>
To be a high-performing, well-run and respected organisation with an empowered workforce	<input type="checkbox"/>
Supports NHS Bury CCG Governance arrangements	<input checked="" type="checkbox"/>
Does this report seek to address any of the risks included on the Governing Body Assurance Framework? If yes, state which risk below:	No
GBAF - N/A	

Implications						
Are there any quality, safeguarding or patient experience implications?	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>	N/A	<input type="checkbox"/>
These will be addressed through management of the risks						
Are there any conflicts of interest arising from the proposal or decision being requested?	Yes	<input type="checkbox"/>	No	<input checked="" type="checkbox"/>	N/A	<input type="checkbox"/>
Are there any financial Implications?	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>	N/A	<input type="checkbox"/>
These will be addressed through management of the risks						
Has a Equality, Privacy or Quality Impact Assessment been completed?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>	N/A	<input checked="" type="checkbox"/>
Is a Equality, Privacy or Quality Impact Assessment required?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>	N/A	<input checked="" type="checkbox"/>
Are there any associated risks?	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>	N/A	<input type="checkbox"/>
Are the risks on the CCG's risk register?	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>	N/A	<input type="checkbox"/>
The risks are articulated within the report and managed through the respective committees.						

Governance and Reporting		
Meeting	Date	Outcome
Primary Care Committee	25/07/2018	Primary Care Committee is assured that the remaining risk at Appendix A is being managed effectively

Primary Care Commissioning Committee Risk Register

1. Introduction

- 1.1 This report provides an updated position in respect of those risks that have been identified, assessed and categorised as having a potential impact on the CCG in relation to Primary Care.
- 1.2 The report presents the risk position and status as at **31 August 2018**.

2. Background

- 2.1 The Risk Register at **Appendix A** captures all risks, irrespective of risk level, that have been categorised by the risk owner with the potential to impact on the areas of responsibility of the Committee.
- 2.2 There are currently a total of **20** risks being monitored across the organisation excluding the Governing Body Assurance Framework (GBAF) of which **1** is included on the Primary Care Commissioning Risk Register.
- 2.3 An assessment of each risk is undertaken between the Risk Owner and Risk Manager, on a schedule specific and appropriate to each risk, with any changes or progress being recorded and outlined within the report.
- 2.4 This report includes open risks, irrespective of risk score for risks assigned to this Committee and any risks that are the responsibility of the Primary Workstream, which, have a current risk level of 15 or more, and any risks which have been recommended for closure. It is the Committee's responsibility to oversee these risks, seek assurance that appropriate controls are in place to manage the risks and that actions are being implemented to further reduce the risk and achieve the target risk score.
- 2.5 The Committee is able to request that further risks are added to the register through the course of its work.

3. Risk Review

- 3.1 This section of the report provides a commentary on those risks which have been reviewed during the reporting months of **July 2018 and August 2018**.
- 3.2 There is one risk included on the Primary Care Commissioning Committee risk register, however the Committee is reminded that this is reviewed on a quarterly basis, with the last review completed in June 2018 and the next risk assessment scheduled during September 2018. An update will be reported accordingly to the November 2018 Meeting.

Risks with no reported change

- 3.3 During this reporting period **0** risks have no reported change.

Risks that have reached their target level

3.4 During this reporting period **0** risks have reached their target level.

Risks that have reduced in score

3.5 During this reporting period **0** risks have reduced in score.

Risks that have increased in score

3.6 During this reporting period **0** risks have increased in score.

Risks recommended for closure

3.7 During this reporting period **0** risks have been proposed for closure by the risk owner and/or workstream.

New Risks

3.8 During this reporting period **0** new risks have been added to the risk register.

Risks that will be reported through the Corporate Risk Register

3.9 The Corporate Risk Register details risks which are scored at 15 or above. The Primary Care Committee Risk Register contains **0** risks which have been scored at this level or higher:

Risk Summary

3.10 The following summary is provided of the Primary Care Commissioning Risk Register:

	Jul/Aug	Jul/Aug %
Total Risks on Report	1	
New Risks	0	0.0%
Risks reduced since last report	0	0.0%
Risks increased since last report	0	0.0%
Risk that have reached target level	1	100.0%
Low Risks (1-3)	0	0.0%
Medium Risks (4-6)	1	100.0%
High Risks (8-12)	0	00.0%
Significant Risks (15-25)	0	0.0%
Risks reviewed in this period (Jul / Aug)	0	0.00%
Risks yet to be reviewed in reporting month	0	0.0%
Risks to be reviewed for next report (Sep / Oct risk review due date)	1	100.0%

4. Recommendations

4.1 The Primary Care Commissioning Committee is asked to:

- receive the risk report;
- note the risks on the risk register as reflected in Appendix A;
- note the update provided in the executive summary;
- note the summary position; and,
- note the risks that will be reported through the Corporate Risk Register.

Lynne Byers
Risk Manager
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August 2018

Appendix A: Primary Care Commissioning Committee Risk Register: Summary

Risk Id	Risk Description	Date Risk Identified	Original Risk Score	Risk Last Reviewed	Current Risk Score	Target Risk Score	Direction of Travel	Next Risk Review
OR_BI_09	Uplands Health Centre - Existing Infrastructure	23-Aug-2012	20	26-Jun-2018	4	4		Sep-2018